## Scalar fields and vacuum fluctuations II

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# Outline

#### What we did so far

#### 2 Primordial curvature perturbations

- gaussianity
- spectrum/spectral index
- beyond slow-roll

#### Gravitational waves

- spectrum/spectral index
- gravitational waves vs. adiabatic density perturbations

#### Isocurvature and multicomponent inflation

# Some reminders:

#### slow-roll-inflation (Ewald)

$$H^{2} \simeq \frac{V(\phi)}{3M_{pl}^{2}} \qquad 3H\dot{\phi} \simeq -V'(\phi)$$
  

$$\epsilon(\phi) \ll 1 \qquad |\eta(\phi)| \ll 1$$
  

$$\epsilon(\phi) = \frac{M_{pl}^{2}}{2} \frac{{V'}^{2}}{V} \qquad \eta(\phi) = M_{pl}^{2} \frac{V''}{V}$$

curvature-perturbations (Claudia/Emanuel)

$$\mathcal{R}_{k} = -\left[\frac{H}{\dot{\phi}}\delta\phi_{k}\right]_{t=t_{*}}$$
$$\delta_{k} = \frac{4}{9}\left(\frac{k}{aH}\right)^{2}\mathcal{R}_{k}$$

tensor and isocurvature perturbations (Christian)

$$\mathcal{P}_{grav}(k) = \frac{2}{M_{pl}^2} \left(\frac{H}{2\pi}\right)^2 \bigg|_{k=aH}$$

scalar fields and vacuum fluctuations (Peter)

$$\mathcal{P}_{\phi}(k, t_*) = \left(\frac{H}{2\pi}\right)^2 \bigg|_{k=aH}$$

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So far we were discussing kind of separated things:

- inflaton field driving (slow-roll) inflation (comoving Hubble-Length decreases), during this period all cosmologically interesting scales leave horizon including vacuum fluctuations  $\delta \phi_k(t_*)$  of the inflaton field
- This vacuum fluctuation is assumed to be gaussian and linked to a curvature perturbation  $\mathcal{R}_k(t)$  which has the great advantage that it stays constant until it reenters the horizon again
- $\mathcal{R}_k$  is then taken as the primordial value and cosmological perturbation theory starts...

$$g_k(t) = T_g(t,k)\mathcal{R}_k$$

- inflaton field is in ground/vacuum state
- QFT says: Let's describe a quantum field by an inifinite series of harmonic oscillators
- As long as  $\phi$  is in vacuum state an individual **k**-mode is drawn from a probability-distribution and independent from all others
- Overall fluctuation  $\delta \phi_k$  is obtained by summing over an infinite number of **k**-values
- Using central limit theorem makes  $\delta \phi_k$  gaussian

(Sideremark: The fact that even every inidividual  ${\bf k}\text{-mode}$  is gaussian seems to be an accident)

### Primordial curvature perturbations: spectrum

Now we are able to put all pieces together:

For the spectrum of the primoridal curvature perturbation we obtain

$$\mathcal{P}_{\mathcal{R}}(k) = \left[ \left( rac{H}{\dot{\phi}} 
ight)^2 \mathcal{P}_{\phi}(k) 
ight]_{t=t,t}$$

Using the spectrum for the vacuum fluctuations of the inflaton field we get

$$\mathcal{P}_{\mathcal{R}}(k) = \left(\frac{H}{\dot{\phi}}\right)^2 \left(\frac{H}{2\pi}\right)^2$$

evaluated at horizon exit k = aH, now using slow roll conditions:

$$\mathcal{P}_{\mathcal{R}}(k) = rac{1}{12\pi^2 M_{Pl}^6} rac{V^3}{V'^2} = rac{1}{24\pi^2 M_{Pl}^4} rac{V}{\epsilon}$$

# Matching observations

The matter density contrast is given by

$$\delta_{\mathbf{k}}(t) = \frac{2}{5} \left(\frac{k}{aH}\right)^2 T(k) \mathcal{R}_{\mathbf{k}}$$

Which gives us the spectrum

$$\mathcal{P}_{\delta}(k,t) = rac{4}{25} \left(rac{k}{aH}
ight)^4 T^2(k) \mathcal{P}_{\mathcal{R}}(k) = \left(rac{k}{aH}
ight)^4 T^2(k) \delta_H^2(k)$$

defining  $\delta_{H}^{2}(k) \equiv \frac{4}{25} \mathcal{P}_{\mathcal{R}}(k)$  and  $\delta_{H}$  as the rms value of  $\delta$  at horizon entry.

$$\Rightarrow \delta_{H}^{2}(k) \simeq \frac{1}{75\pi^{2}M_{\rho l}^{6}} \frac{V^{3}}{V'^{2}} = \frac{1}{150\pi^{2}M_{\rho l}^{4}} \frac{V^{3}}{\epsilon}$$

Cobe says:  $\delta_H(k_{pivot}) = 1.91 \times 10^{-5}$  normalized on a large scale  $k_{pivot} \equiv 7.5 a_0 H_0$ 

#### Assuming only contributions of adiabatic density perturbations

$$\frac{V^{3/2}}{M_{pl}^3 V'} = 5.2 \times 10^{-4}$$

or in other words

$$\frac{V^{1/4}}{\epsilon^{1/4}} = 0.027 M_{Pl} = 6.6 \times 10^{16} GeV$$

This relation now provides us a crucial constraint on every model of inflation with respect to the underlying inflaton potential.

# Scale dependence/spectral index

Whatever the spectrum will look like wrt the dependence on k we can define an effective spectral index n(k) over an interval of k where n(k) is constant

$$n(k) - 1 \equiv rac{dln \mathcal{P}_{\mathcal{R}}}{dlnk}$$

Assuming a power law behaviour

$$\mathcal{P}_\mathcal{R} \propto k^{n-1}$$

The equations for the spectrum are evaluated at k = aH and the rate of change of H is negligible compared to a

 $\Rightarrow$  dlnk = Hdt and from slow roll we get  $dt = -(3H/V')d\phi$ 

$$\Rightarrow \frac{d}{dlnk} = -M_{pl}^2 \frac{V'}{V} \frac{d}{d\phi}$$

## Conclusions for spectral index

Now we can take derivatives of the slow roll parameters,

$$\frac{d\epsilon}{dlnk} = 2\epsilon\eta - 4\epsilon^2$$
$$\frac{d\eta}{dlnk} = -2\epsilon\eta + \xi^2$$
$$\frac{d\xi^2}{dlnk} = -2\epsilon\xi^2 + \eta\xi^2 + \sigma^3$$



$$\xi^{2} \equiv M_{pl}^{4} \frac{V'(d^{3}V/d\phi^{3})}{V^{2}}$$
$$\sigma^{3} \equiv M_{pl}^{6} \frac{V'^{2}(d^{4}V/d\phi^{4})}{V^{3}}$$

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with

Consequences for spectral index

$$\mathcal{P}_{\mathcal{R}}(k) = \frac{1}{12\pi^2 M_{Pl}^6} \frac{V^3}{V'^2} = \frac{1}{24\pi^2 M_{Pl}^4} \frac{V}{\epsilon}$$
$$\Rightarrow n - 1 = -6\epsilon + 2\eta$$

This leads to the conlcusion Inflation predicts that the variation of the spectrum is small in an interval  $\Delta lnk \sim 1$ we can also caluclate the variation of n to be

$$\xi^{2} \equiv M_{pl}^{4} \frac{V'(d^{3}V/d\phi^{3})}{V^{2}}$$
$$\sigma^{3} \equiv M_{pl}^{6} \frac{V'^{2}(d^{4}V/d\phi^{4})}{V^{3}}$$

$$\frac{dn}{dlnk} = -16\epsilon\eta + 24\epsilon^2 + 2\xi^2$$

This might be observeable by Planck and another test for inflation models

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## Going beyond slow roll

Simple error estimation

$$\begin{split} \frac{\Delta \mathcal{P}_{\mathcal{R}}}{\mathcal{P}_{\mathcal{R}}} &= \mathcal{O}(\epsilon, \eta) \\ \Rightarrow n - 1 &= 2\eta - 6\epsilon + \mathcal{O}(\xi^2) \\ \Rightarrow \frac{dn}{dlnk} &= -16\epsilon\eta + 24\epsilon^2 + 2\xi^2 + \mathcal{O}(\sigma^3) \end{split}$$

It is also possible to obtain predictions by simply using linear perturbation theory and some of them are solvebale in a analytic way. The caluclations are quite lengthy and include a lot from Chapter 14, but assuming power-law inflation the result is

$$\mathcal{P}_{\mathcal{R}}^{1/2}(K) = 2^{\nu - 3/2} \frac{\Gamma(\nu)}{\Gamma(3/2)} \left(\nu - \frac{1}{2}\right)^{1/2 - \nu} \frac{H^2}{2\pi |\dot{H}|} \bigg|_{k=aH}, \quad \nu = \frac{3}{2} + \frac{\epsilon_H}{1 - \epsilon_H}$$

Normally impovements to the obtained spectrum are so tiny that they are not measureable with fixed parameters of a given inflation model. Until now (2000) the change can be cancelled by varying model parameters.

 $\Rightarrow$  No further constraints on inflation model

Additional constraints are welcome to reduce parameter space.

 $\Rightarrow$  Gravitational waves

As we have seen the spectrum of a gravitational wave amplitudes created by fluctuations of the inflaton field are given by

$$\mathcal{P}_{grav}(k) = \frac{2}{M_{pl}^2} \left(\frac{H}{2\pi}\right)^2 \bigg|_{k=aH}$$

again we define a spectral index

$$n_{grav} = rac{dln \mathcal{P}_{grav}}{dlnk}$$

and by applying the same formalism we obtain

$$n_{grav} = -2\epsilon$$

### Gravitational waves: comparison to density perturbations

Looking at the CMB we can see two contributions to the anisotropies and their according spectra

density perturbations

$$I(I+1)C_{I} = \frac{\pi}{2} \left[ \frac{\sqrt{\pi}}{2} I(I+1) \frac{\Gamma[(3-n)/2)]\Gamma[I+(n-1)/2]}{\Gamma[(4-n)/2)]\Gamma[I+(5-n)/2]} \right] \delta_{H}^{2}(H_{0}/2)$$

gravitational waves

$$I(I+1)\mathcal{C}_I = \frac{\pi}{9}\left(1 + \frac{48\pi^2}{385}\right)\mathcal{P}_{grav}c_I$$

We can now define the ratio of their contributions

$$r \equiv rac{\mathcal{C}_l(grav)}{\mathcal{C}_l(ad)} \simeq 12.4\epsilon$$

We have seen that the spectra of gravitational waves and density perturbations are related

 $r = -6.2 n_{grav}$ 

This equation known as consistency equation holds assuming single-field slow-roll inflation, independent of the underlying potential The expression consistency simply states that both quantities which are generated at the same period by the same potential  $V(\phi)$  must be connected.

Problems:

- A solid signal for r will be very hard to detect (even for Planck)
- With respect to the detection efficiency every suggested inflation model delivers a negligible contribution for gravitational waves

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- The only physically motivated source of such an isocurvature perturbation is the scalar axion field
- Interesting for particle physics
- possible candidate for CDM
- Even the requirement that its isocurvature contribution is not bigger than the total density perturbations gives significant constraint on the axion properties

#### Isocurvature: the general case

• Assume a non-inflaton-scalar-field  $\chi$  with a simple potential

$$V(\chi) = \frac{1}{2}m_{\chi}^2\chi^2$$

• We want the field to become classical after horizon exit

 $m_\chi \lesssim H$ 

 We focus also on a field which mass is small that it has negligible motion during some e-folds of inflation

$$m_\chi^2 \ll V'' (\ll H^2)$$

• At horizon exit we obtain the spectrum

$$\mathcal{P}_{\chi}(k) = \left(\frac{H}{2\pi}\right)^2$$

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### Isocurvature: dark matter contribution

- If  $\chi$  survived inflation it can create isocurvature perturbations by contributing with a fraction  $f_{\chi}$  to the nonbaryonic dark matter (only case which is taken into account)
- mechanism is called misalignment:  $\chi$  starts to oscillate around the minimum of potential, which happens when H falls below  $m_{\chi}$
- This leads to an initial isocurvature perturbation

$$S = \frac{\delta \rho_c}{\rho_c} = f_{\chi} \frac{\delta \rho_{\chi}}{\rho_{\chi}}$$

• With  $ho_\chi \propto (\chi+\delta\chi)^2$  and assuming  $|\delta\chi|\ll |\chi|$  we get the spectrum

$$\mathcal{P}_{\mathcal{S}} = rac{4f_{\chi}}{\chi} \left(rac{H}{2\pi}
ight)^2 \quad \stackrel{obs}{\Rightarrow} \quad f_{\chi} \sim 1$$

• For  $|\delta\chi| \gg |\chi|$  we find

$$f_\chi \lesssim 10^{-5}$$

- Pseudo-Goldstone-Boson of the spontaneously broken Peccei-Quinn-symmetry
- predicted by some extensions of the standard model
- in the (very) early universe this symmetry is taken to be exact, what makes the axion massless between  $T \sim 1 GeV 100 MeV$  mass increases to its real value
- ullet Astro .-and colliderphysics predict  $m \lesssim 10^{-2} eV$
- If symmetrie is broken spontaneously at all epochs after horizon exit, the axion give an isocurvature distribution by the misalignment mechanism and axion number will be conserved
  - $\Rightarrow$  Calculate  $\Omega_{a0}$  with standard (  $\mathcal{T}>1 \textit{GeV})\text{-}cosmology}$

$$\Omega_{a0} \lesssim 1 \quad \Rightarrow \quad m \gtrsim 10^{-3} - 10^{-4} eV$$

- One-component solutions in scalar field space refer to straight line-trajectories
- Multi-component slow-roll models represent a family of curved trajectories in the space of two or more fields
- We refer to the coordinates of the inflation-trajectory in field space as components of the inflaton
- Let's assume that all components fulfil the slow roll condition

$$3H\dot{\phi}_{a} = -\frac{\partial V}{\partial \phi_{a}}$$

$$\Rightarrow \quad \epsilon_{a} \equiv M_{pl}^{2} \left(\frac{\partial V/\partial \phi_{a}}{V}\right) \ll 1 \qquad |\eta_{ab}| \equiv M_{pl}^{2} \frac{\partial^{2} V/\partial \phi_{a} \partial \phi_{b}}{V} \ll 1$$

• Now we have to check how the primordial curvature perturbations arise

# Consequences of multicomponent inflation

A formalism that I don't understand at all says (using  $\mathcal{R} = H\delta t$  proved in Chapter 14)

$$\mathcal{R} = \delta N$$
  
 $\Rightarrow \mathcal{R} = \frac{\partial N}{\partial \phi_a} \delta \phi_a$ 

where N is the number of Hubble times measured by a comoving observer between an initial slice defining  $\delta\phi$  and the final slice defining  $\mathcal{R}$ Consequences

•  $\delta_H^2 = \frac{V}{75\pi^2 M_{pl}^2} \frac{\partial N}{\partial \phi_a} \frac{\partial N}{\partial \phi_a}$ •  $n-1 = -\frac{M_{pl}^2 V_{,a} V_{,a}}{V^2} - \frac{2}{M_{pl} N_{,a} N_{,a}} + 2\frac{M_{pl}^2 N_{,a} N_{,b} V_{,ab}}{V N_{,d} N_{,d}}$ 

• The classical trajectory is not specified by the potential, but has to be given seperately

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- The origin of primordial perturbations from vacuum fluctuations of the inflaton field justifies gaussianity and gives theoretical values for the exspected spectra
- By comparing with observations one can reduce parameter-space for the inflaton-model
- Observation of gravitational waves would reduce it even more
- By looking at a hypothetical isocurvature creation by an axion field, one can obtain a relativley small window for the axion mass
- Assuming multicomponent inflation makes things complicated